

TITLE OF THE INVENTION
**METHOD AND SYSTEM TO ENABLE CONTACT WITH
UNKNOWN INTERNET ACCOUNT HOLDERS**

CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims priority from co-pending United States provisional application number 60/217,077, filed July 10, 2000, which is commonly owned and incorporated by reference herein.

FIELD OF THE INVENTION

The technical field of this invention relates to the Internet and like networks. In particular the present invention relates to the notification of Internet access account holders by servers that do not know the identity of the account holder.

BACKGROUND OF THE INVENTION

As Peter Steiner observed in a famous New Yorker cartoon, "On the Internet, Nobody Knows You Are A Dog." New Yorker , p.61, Vol. 69, No. 20, July 5, 1993. From the earliest days of the World Wide Web, anonymous usage has been the primary method for surfing the Web. Generally, Web surfers can visit a Web site without telling the Web site who they are. Instead, based on standard Internet protocols, the Web site (having a Web server) is told the surfer's IP address. The Web server just needs to know where the information is to be sent, not who the viewer is.

Often there is a third party facilitating the communications between a Web surfer and a Web server – an Internet Service Provider (ISP). An ISP may take on a variety of forms. It can be a standard commercial ISP, such as MSN or AMERICA ON LINE. An information technology department of a business may provide Internet access to the employees of the business and others. A home computer can host a LAN to share a DSL or cable modem line. For the purposes of this invention, an ISP is an intermediary that provides the routing of a message to a client computer system and can additionally provide other services such as e-mail, news, chat rooms, and the like.

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Typically, the ISP uses one of two methods to map IP addresses to its users' computers - static IP addresses and dynamic IP addresses. Static IP addresses are permanently assigned to a user's computer. Even if the user's computer is only connected to the Internet for a few minutes each day, no one else uses the IP address assigned to that computer. Dynamic addresses are IP addresses that are placed in a pool by the ISP and assigned to users' computers on an as-needed basis. It is, therefore, possible that a single IP address will be used by a large number of computers in the course of a day. It is also possible that a single user who surfs the Web from a single computer, but in multiple sessions, in a single day would have a different IP address for each session. In addition, even if an ISP reliably identifies the computer from which a request comes, it has no way of knowing which individual is using the computer. It could be the person who set up the account with the ISP (the account holder), the spouse of the account holder, a child of the account holder, an employee of the account holder, or someone else who obtains access to the computer.

A variety of other existing conditions generally prevent a Web site from reliably ascertaining the identity of a user through the use of technologies, such as proxy servers. Among those, a proxy server discloses an IP address that may not be the IP address of the computer making the request of the server. When a proxy server is used, the Web server sends the Web page to the proxy server, and the proxy server, in turn, determines which computer has been assigned that IP address in order to send a page to the right computer. Therefore, even though the Web server does not know which computer has requested a Web page, it can use the knowledge that it has (an IP address) to send the page to the correct computer and depend on the proxy server to use the knowledge that it has (a correct mapping of IP addresses to its users' computers) to send the page to the correct computer. Also, a user may employ an anonymizing server to aid protecting the user's identity.

Further, even if a Web server knows who the current user of an account is, the Web server does not necessarily know who the account owner, the person responsible for the use of that account or who is responsible for supervising that account, is. For example, companies provide Internet access to their employees, and parents provide Internet access to their children.

Because a Web site cannot reliably depend on IP addresses to identify who a user is or even if a current user is the same person who visited a few minutes earlier, numerous techniques have been developed to identify users. The most well-known is a "cookie" that the Web site

“plants” on the user’s computer. A cookie is a small file that the Web site places on the user’s computer that the Web site can find each time the user revisits the Web site. If the Web site finds a cookie that it planted in an earlier session, it knows that it is communicating with the same computer. Cookies are based on a “pull” technology, i.e., the Web site has no ability to contact the user, and it must wait for the user to visit it. Once the user visits the Web site, however, the Web site can read the cookie and instantly know which computer is communicating with it. However, cookies are less than foolproof because people can access an account from a friend’s computer using their own account username and password and thereby make repeatable, reliable identification of a user problematic.

Another technique is to ask the user for the user’s email address. Possession of a user’s email address allows the Web site to use “push” technology to contact a user, i.e., the Web site can, without waiting for the user to visit the site, contact the user. Unfortunately, mere possession of a user’s email address does not help the Web site identify the user the next time that the user comes to the site. In addition, many users supply false email addresses or supply email addresses acquired from free Web-based email services that they seldom, if ever, check. Also, in any case in which multiple users access a single computer and use a single email address, possession of an email address does not allow the Web site to contact a particular person.

Another technique is the use of passwords. By requiring a user to supply a password each time the user visits a site, the Web site can assume with reasonable certainty that it is dealing with the same person to whom it issued the password in an earlier session. Like cookies, passwords are a “pull” technology and not a “push” technology.

Although combinations of the above-described technologies can achieve a number of the user’s and the Web site’s needs, they have not solved some vexing problems. For example, Web sites catering to children now need to verify children’s ages and, in some cases, need to obtain parental consent, such as under The Child Privacy Protection Act. Since children do not necessarily want their parents to know what they are doing on the Internet, they have found ways to defeat current parental notification and consent mechanisms. For example, if a Web site asks for a parent’s email address, the child can create his or her own address and give it to the Web site as if it were a parent’s email address. Any subsequent communications from the Web site to

the parent would in fact be sent to the child. Although some procedures have been crafted to deal with this issue, they are either cumbersome or unreliable.

Another example is a teenager looking for pornography. Even if a Web site is willing to restrict access to adults, it has no practical way to distinguish between child and adult users (especially when the child does not want to be found out). The two basic solutions are to implement a registration system that enables someone to prove in advance that he or she is eighteen years old or older or to depend on the parents of the child user to purchase and install filtering software). None of the registration systems proposed to date have passed constitutional muster. Therefore, none of the burden of policing porn surfing by children currently rests with the porn site.

Therefore, it is desirous to find a solution to the deficiencies of the current systems. Desirably such a solution would implement a method to enable Web sites and other servers to reliably contact account holders without requiring the account holder to tell the Web site who the account holder is. Desirably such a system would distinguish between the user of the account and the person responsible for the account.

BRIEF SUMMARY OF THE INVENTION

One aspect of the present invention is a method of enabling a server to contact an unknown Internet account holder comprising the steps of:

receiving a notice from the server via a standardized communication pathway, the notice comprising a request time and a requesting IP address, and a communication;

identifying the account holder based the requesting IP address and optionally the request time; and

sending the account holder the communication by an arranged manner;

wherein the server need not know the identity of the account holder, and the notice need not contain information regarding the identity of the account holder.

In another embodiment of the present invention identifying the account holder comprises checking a list of static IP addresses.

In a further embodiment of the invention the arranged manner is selected from the group consisting of email, fax, voice, standard mail and destruction.

In yet another embodiment of the present invention, the standardized communication pathway is email, the step of identifying the account holder comprises checking one or more files comprising a database comprising dynamic IP addresses, accounts, and times, and the request time is the time at which the server received the request.

Still another embodiment of the present invention, is for use in an environment where a proxy server is provided and the IP address received from the server as part of the notice is deemed to be a disclosed IP address. Such an embodiment checks a proxy IP address database comprising disclosed IP addresses, assigned IP addresses, and proxy assignment times, identifies the assigned IP address associated with the disclosed IP address at the request time, and modifies the communication to reflect the assigned IP address wherein the assigned IP address used by the client computer system is reflected in the email.

Another aspect of the present invention is a method of enabling a server to contact an unknown Internet account holder comprising the steps of:

receiving a request for a resource;

determining that the request for the resource warrants sending a notice;

identifying a notice destination to which the notice is to be sent;

generating a notice comprising an apparent IP address, a time the server received the request, and a communication; and

sending the notice to the notice destination via a standardized communications pathway.

Another embodiment of the present invention comprises the step of warning a user that fulfilling the request for the resource will result in the sending of a notice.

In yet another aspect of the present invention the server is a Web server that is sending a response to a hypertext transfer protocol request.

In still another aspect of the present invention the response to the hypertext transfer protocol request contains hypertext code that aids in preventing the caching of the Web page.

In an alternative embodiment of the present invention the hypertext transfer protocol request contains hypertext code that enables a caching server to send notices on behalf of the server.

Another aspect of the present invention is a system for enabling a server to contact an unknown Internet account holder comprising:

means for receiving a notice from the server via a standardized communication pathway, the notice comprising a request time and a requesting IP address, and a communication;

means for identifying the account holder based on the requesting IP address and optionally the request time; and

means for sending the account holder the communication by an arranged manner;

wherein the server need not know the identity of the account holder, and the notice need not contain information regarding the identity of the account holder.

In another aspect of the present invention the means for identifying the account holder comprises a list of static IP addresses.

In yet another aspect of the present invention the means for sending is selected from the group consisting of email, fax, voice, standard mail and destruction.

In a still further aspect of the present invention, the standardized communication pathway is email, the means for identifying the account holder comprises a database comprising dynamic IP addresses, accounts, and times.

Another aspect of the present invention is for use in an environment where means for assigning apparent IP addresses is provided and the IP address received from the server as part of the notice is deemed to be an apparent IP address, further comprising:

means for checking an apparent IP address database comprising disclosed IP addresses, assigned IP addresses, and proxy assignment times;

means for identifying the assigned IP address associated with the apparent IP address at the request time;

means modifying the communication to reflect the assigned IP address;

wherein the assigned IP address used by the client computer system is reflected in the communication to the account holder.

Another aspect of the present invention is a system for enabling means for serving a resource to contact an unknown Internet account holder comprising:

means for receiving a request for the resource;

means for determining that the request for the resource warrants sending a notice;

means for identifying a notice destination to which the notice is to be sent;

means for generating a notice comprising an apparent IP address, a time the server received the request, and a communication;

means for sending the notice to the notice destination via standardized communications pathway means.

An alternate embodiment of the present invention comprises means for warning a user that fulfilling the request for the resource will result in the sending of a notice.

Another aspect of the present invention is a system for enabling a server to contact an unknown Internet account holder comprising:

a standardized communications pathway server capable of receiving a notice;

a parser capable of identifying a request time, a requesting IP from the notice and a communication within the notice;

a login database comprising IP addresses, request times, and accounts; and

account holder communication subsystem for sending the account holder the communication;

wherein the server need not know the identity of the account holder, and the notice need not contain information regarding the identity of the account holder.

In another embodiment of the present invention, the login database comprises a list of static IP addresses.

In yet another embodiment of the present invention, the account holder communication subsystem is selected from the group consisting of email, fax, voice, standard mail and destruction.

In still another embodiment of the present invention, the standardized communication pathway server is an email server, and the login database comprises dynamic IP addresses, accounts, and times.

A further alternative embodiment of the present invention is for use in an environment where a proxy server is provided and the IP address received from the server as part of the notice is deemed to be an apparent IP address, further comprising:

an apparent IP address database comprising disclosed IP addresses, assigned IP addresses, and assignment times for the disclosed IP addresses;

software that identifies the assigned IP address associated with the apparent IP address at the request time;

software that modifies the communication to reflect the assigned IP address;

wherein the assigned IP address used by the client computer system is reflected in communication to the account holder.

Another aspect of the present invention concerns a system for enabling a server to contact an unknown Internet account holder comprising:

- a server to receive a request for a resource;
- software to determine that the request for the resource warrants sending a notice;
- software to identify a notice destination to which the notice is to be sent;
- software to generate a notice comprising an apparent IP address, a time the server received the request, and a communication; and
- a standardized communications pathway server to send the notice to the notice destination.

A further embodiment of the present invention comprises software for warning a user that fulfilling the request for the resource will result in the sending of a notice.

In another embodiment of the present invention the resource is a hypertext transfer protocol resource.

Other features and advantages of the present invention will be apparent to those skilled in the art from the following detailed description, the accompanying drawings and the appended claims.

BRIEF DESCRIPTION OF THE DRAWINGS

The benefits and advantages of the present invention will become more readily apparent to those of ordinary skill in the relevant art after reviewing the following detailed description and accompany drawings, wherein:

FIG. 1 illustrates the relationship of the systems of the present invention;

FIG. 2 is a flowchart illustrating a process and system by which a user sends a request to a server;

FIG. 3 is a flowchart illustrating a process and system by which a server sends a notice to an account holder; and

FIG. 4 is a flowchart illustrating a process and system by which an ISP delivers a notice to an account holder.

DETAILED DESCRIPTION OF THE INVENTION

In this written description, the use of the disjunctive is intended to include the conjunctive. The use of definite or indefinite articles is not intended to indicate cardinality. In particular, a reference to “the” object or thing or “an” objection or “a” thing is intended to also describe a plurality of such objects or things.

It is to be further understood that the title of this section of the specification, namely, “Detailed Description of the Invention” relates to a requirement of the United States Patent and Trademark Office, and is not intended to, does not imply, nor should be inferred to limit the subject matter disclosed herein or the scope of the invention.

Although the present invention is susceptible of embodiment in various forms, there is shown in the drawings and will hereinafter be described a presently preferred embodiment with the understanding that the present disclosure is to be considered an exemplification of the invention and is not intended to limit the invention to the specific embodiments illustrated.

Referring to the figures, and in particular to FIG. 1, the present invention is directed to systems and methods by which a server **10** can contact an account holder **12** of an Internet access account (IAA) **14** on an ISP **16** being used by a user **18** making a request **20** from the server **10**. The server **10** is a server on a network **22**, such as the Internet (and such should be treated as synonymous for this invention). The ISP **16** is connected to the same network **22**. A client computer system **24** is connected to the ISP **16** as permitted by the Internet access account **14** established or supervised with the ISP **16** by the account holder **12**. The user **18** through his client computer system (or “client”) **24**, the ISP **16**, and the network **22** can make requests **20** of the server **10**. The server **10** can send responses **26** to the user **18** through the network **22**, the ISP **16**, and the client computer system.

The server **10** manages requests **20** for resources **28** from computer client systems **24**. For the purposes of this invention, the resource **28** is any information, file, or service provided by the server **10** to a client. The server **10** can be a Web server, in which case the resources **28** requested are Web pages, and the requests **20** and responses **26** are done according to hypertext transfer protocol (abbreviated HTTP). The Web server **10** can be hosted on any number of hardware computing platforms (computers) known to those of ordinary skill in the art. A Web server **10** can also use a wide variety of software as appropriate for the operating system selected. Thus, UNIX systems can use APACHE or NETSCAPE, a NOVELL Web server can

use NETWARE WEB SERVER (such as is included with INTRANETWARE), a WINDOWS NT system can use INTERNET INFORMATION SERVER, or a MACINTOSH system can use APPLESshare IP 6, MACHHTTP, or WEB-STAR. The server **10** can also be an FTP (file transfer protocol) server that provides files, a news server (often using NNTP, but possibly a product such as DNEWS) that provides news service (such as USENET news), or a chat server (such as an IRC server) that provides a series of messages. A server **10** can even serve interactive sessions hosted by TELNET and the like.

The ISP **16** is an entity that provides access to the network **22**. The ISP **16** can provide access to the Internet **22** via a variety of communications paths, including, but not limited to, dial-up modems, cable modems, ISDN (integrated services digital network 22) modems, DSL (digital subscriber line) modems, LANs, Ethernet connections, and even wireless connections including, but not limited to, satellite dishes. Software for managing access to the ISP **16** varies with the communication path chosen. When a dial-up modem is used, Point-to-Point protocol (PPP) can be used, but other methods such as Serial Line Internet Protocol (SLIP) and others can also be used. As will be appreciated by those skilled in the art, a wide variety of hardware and software can be used to accomplish the connection of ISPs **16** to clients **24**, and all such forms of connection are contemplated by the present invention.

Typically, the ISP **16** will provide access to the Internet **22** in exchange for remuneration, but some fee-free ISPs **16** exist. Examples of ISPs **16** include, but are not limited to, AOL, MSN, EARTHLINK, UNITED ONLINE, AT&T WORLDNET, COMPUUSERVE, PRODIGY and the like. The technical offerings of ISPs **16** vary, but the fundamental task of an ISP **16** is to provide access to the Internet **22**. In doing so, the ISP **16** provides the routers and other equipment necessary for the client to communicate with the Internet. ISPs can provide services such as domain name resolution, email accounts, Web servers, USENET news access, chat servers, and more.

The ISP **16** manages its Internet **22** access via accounts **14**. The ISP **16** can define an account **14** by a username that tracks the usage of the account **14** and assures that a given connection is a usage of the ISPs **16** resources **28** authorized by the ISP **16**. To limit the use of the account **14** to authorized uses, an ISP **16** will ordinarily assign a password associated with the user **18** name. For example, the ISP **16** may allow only one connection to its system using a particular user **18** name at a time, or may only permit the account **14** to be used during certain

hours or for a certain amount of time each month. The ISP **16** can also use the account **14** to monitor usage for billing purposes. For example an ISP **16** could bill the account holder **12** based on the bandwidth used, the amount of time the account **14** is active, a combination of those, or other factors that the ISP **16** desires and to which the account holder **12** agrees.

The account **14** can be configured for use by several users **18**. For example, AOL permits one “account **14**” to have multiple user **18** names and email addresses so that an entire family can share a single account **14**, although only one user **18** can be logged in at a time. Another example would be a LINUX system that permits multiple users **18** to access the Internet **22** via a single PPP connection. Any number of ISPs **16** support multiple email addresses per account **14**, for example AMERITECH’s SPEEDPATH 768 (www.ameritech.net) provides one Internet **22** connection with five (5) email accounts. The present invention contemplates either single or multiple users **18** per Internet access account **14** whether the users **18** are defined by the ISP **16** or the account holder **12**.

The account holder **12** is a person or entity responsible for the Internet access account **14**, and can be responsible for payment, use, or the like. The account holder **12** can be the same as the user **18** of the account **14**. Alternatively, the account holder **12** can be a different person or entity. For example, a user **18** could also be one of the parent’s children, but the parent would still be the account holder **12**. The user **18** can be an employee of a company, and the account holder **12** would be the company, exercising its prerogatives as an account holder **12** through supervisory employees of the company.

Users **18**, whether the account holder **12** or someone or something else, use a client computer system **24** to access the ISP **16** and the Internet **22**. A client computer system **24** is hardware, firmware and/or software appropriate for accessing the ISP **16** and the Internet **22** and whatever servers on the Internet **22** as desired. The client computer system **24** is not necessarily a single fixed system for any given Internet access account **14**. First, multiple client computer systems **24** can be used to access a single account **14**. For example, a home system can have several computers in the home, each of which accesses the same Internet access account **14**, albeit not necessarily simultaneously. Second, for national ISPs **16**, such as AOL and MSN, a vacationer could still access his Internet access account **14** from any properly equipped computer. As will be evident to those of ordinary skill in the art, the present invention is not necessarily tied to a particular computer.

The hardware for a client computer system **24** can be a general purpose “PC” system running client computer operating system software such as WINDOWS 98 or the like from Microsoft Corp. of Redmond, Washington, RED HAT LINUX of Durham, North Carolina, OS/X from Apple Computer of Cupertino, California, or the like. Possible Web client software includes, but is not limited to NETSCAPE NAVIGATOR, or MICROSOFT INTERNET EXPLORER (IE). The client computer system **24** can also be a personal digital assistant configured for Internet access, such as a PALM VII and the like or even a cellular phone such as a KYOCERA SMARTPHONE and the like. The client computer system **24** could also be a television receiver system, such as ULTIMATE TV from DIRECTV (see www.directv.com) or STARBAND from DISHNETWORK **22** (see www.dishnetwork.com). A client computer system **24** does not necessarily have only one user **18** at a time. For example a LINUX system can serve a LAN, or have multiple X-Windows terminals or serial login sessions.

A user **18** is an entity that is using the Internet access account **14** via the client computer system **24** at any given time. The user **18** can be a person or even a computer program such as an automated agent. Even if the user **18** is a person, the user **18** can be different people at different times.

For example, Mr. Smith can have a computer equipped with software and an account **14** for his family with AMERICAL ONLINE (AOL). Tracy, Mr. Smith’s child, can use the account **14** to make access YAHOO!‘s services via AOL. YAHOO! is the server (site) **10**, AOL is the ISP **16**, and the computer **24** with the software is the client. Mr. Smith is the account holder **12**, and Tracy is the user **18**. Another example would be for an employee to access Yahoo.com from his desktop computer via his company’s Internet access service, which can be monitored by the information services department. In that example, YAHOO! is again the server **10**, the company’s Internet access service is the ISP **16**, the employee is the user **18**, the desktop computer is the client, and the information services department is the account holder **12**. Numerous variations on these theme will be apparent to those of ordinary skill in the art and are contemplated by the present invention.

Referring to FIG. 2, a user **18** connects **30** the client computer system **24** to the ISP **16** by designating the Internet access account **14**. For the purpose of this invention connecting a client computer system **24** involves establishing the ISP **16** as an effective pathway **32** between the server **10** and the client computer system **24**, and can comprise any or none of authorization,

authentication, assignment of an IP address **34**, assignment of a router, and the like. The client computer system's **24** login process can be automated by a script, or the user **18** can manually enter the information necessary to log in, such as a username and password and the like. For dial-up modem systems, this can comprise establishing a PPP connection with the ISP **16**. For DSL or cable-modem systems, establishing an effective pathway **32** may not be necessary often as these are "always-on" technologies. For dedicated connections such as T-1 lines accessed via a corporate LAN, logging the client computer system **24** into the LAN may be sufficient.

The ISP **16**, using standard techniques known to those of ordinary skill in the art, designates **54** an IP address **34** for the client computer system **24** and establishes communication with the client computer system **24**. For the purposes of this invention, the IP address **34** of the client computer system **24** can be either a static IP address **44** or a dynamic IP address **48**. The ISP **16** can use DCHP, BOOTP or other protocols for negotiating the assignment of the IP address **34** with the client computer system **24**. For an Internet access account **14** or a client computer system **24** having a static IP address **44**, the ISP **16** need not assign or designate **54** a dynamic IP address **48**. The IP address **34** of the client computer system **24** can be, but is not necessarily, masked by a proxy server **36**. Further, the ISP **16** can establish an apparent IP address **37**, possibly using a firewall or proxy server **36**, that the ISP **16** will use for communications with the Internet **22**. It is also possible that anonymizing servers **38** can be used providing additional layers of apparent IP addresses **37**. A designation time **42** is the time at which the ISP **16** designates **54** the IP address for the client computer system **24**, whether actual **34** or apparent **37**. Each IP address **34**, **37**, whether actual or apparent, designated by the ISP **16** can have a designation time **42**.

For client computer systems **24** having static IP addresses **44**, the ISP **16** can maintain a static IP address database **46** of client computer systems **24** and IP addresses **34**. The client computer systems **24** can be identified by various means known to those skilled in the art, including but not limited to tracking the Ethernet identity of an Ethernet card. Alternatively, for client computer systems **24** having dynamic IP addresses **48**, the ISP **16** can log **56** the time of the allocation of the IP address (designation time), the Internet access account **14** being used and the IP address **34** allocated to the client computer system **24**. Associating a given client computer system **24** with a static IP address **44** is a straightforward task for those of ordinary skill in the art, and will not be discussed here.

In logging **56** the designation time **42**, account **14**, and IP address **34**, the ISP **16** can use standard log files **50** for the servers used to log the client computer system **24** in. Such log files **50**, singly or in combination, can comprise a login database **52**. The login database **52** can also be created independently of the server log files **50** and maintained by the ISP **16** via any number of flat file, relational, or other database programs. Such file can be maintained solely for the purpose of implementing the invention or can be applied to other purposes. For example, such a database can be used to re-establish dropped connections to a client computer system **24** with an identical IP address **34**. See U.S. Patent 5,812,819.

In one method of the present invention, the user **18** requests **58** a resource **28** from the server **10** using the client computer system **24**. It will be understood by those of ordinary skill in the art that the actual request **20** for a resource **28** may have preceded the user **18** connecting the client computer system **24** to the ISP **16** and triggered the connection to the ISP **16** via an automatic connection mechanism, such as present in WINDOWS 98 connection manager. Various technicalities, such as the resolution of URLs and domain names, are well understood by those of ordinary skill in the art and are not an inventive aspect of the present invention. Those of ordinary skill in the art will understand how such tasks are accomplished, usually by the ISP **16**, to route the user's **18** request **20** to the server **10**.

The request **20** may be routed **62** directly to the server **10** by the ISP **16**, or may be routed **62** indirectly through multiple routers and/or routing computers including third-party anonymizing services **38** such as provided by ZEROKNOWLEGE (www.zeroknowledge.com) among others. In one embodiment, the request **20** for a resource **28** can be a request **20** for a Web page from a Web server **10** using a Web browser. The ISP **16** and or other third parties, such as anonymizing servers **38**, may each assign **60** an apparent IP address **37** to the IP address that it received from the previous stage in the process. Each of these entities assigning **60** an IP address is an ISP **16** for the purposes of this invention, and can perform the logging **56** of apparent IP addresses **40** and the designation times **42** of those apparent IP addresses **40**.

Referring to FIG. 3, the present invention contemplates systems and methods in which the server **10** receives a request **20** from the user **18** for a resource **28** and, in addition to the response **26** to the user **18**, generates a notice **64** to the account holder **12** of the request **20**. The notice **64** may be generated for a variety of reasons. First, regulations or statutes may require the operator of the server **10** to notify the account holder **12** of certain activity. As one example

various commercial or moral child protection acts can require operators to contact parents regarding transactions proposed by children. Second, the operator of the server **10**, in order to maintain a good commercial reputation or in good conscience can voluntarily decide to provide notices **64** as appropriate. For example, despite its entry into the adult content market, YAHOO! could decide to provide notices **64** voluntarily to account holders **12**, even though it may not be required to do so by law in order to facilitate good public relations.

Still referring to FIG. 3, the server **10** receives **66** a request **20**. After receiving **66** the request **20**, the server **10** determines whether the server **10** needs to generate a notice **64** in order to provide the requested resource **28**, and what notice **64** is appropriate for the particular resource **28**. Examples of resource **28** content that could require notification of an account holder **12** are: pornographic material, hate material, material outlawed in particular countries (for example material critical of the Chinese government), and material soliciting the private information of minors (per The Children's Online Privacy Protection Act).

The code for determining a need for (or triggering) the generation of a notice **64** can be located in the resource **28** requested, in the server **10** itself, or in a database accessed by the server **10**. For example, a Web page can contain code to generate the notice **64** or call a program to generate the notice **64** when it is accessed by the server **10**. For example, Active Server Pages can be used to provide Web pages while simultaneously generating a notice **64**. Alternatively, the Web server **10** itself can be programmed to trigger the generation of the same notice **64** for all Web pages it serves. In another alternative, the Web server **10** can use a database, whether incorporated into the Web server **10** or in a separate application or file, to trigger the generation of the notice **64**.

Another embodiment of the present invention is embodied in an FTP server **10**. For example, an FTP server **10** (FTPD) at a pornographic site could be programmed to generate an identical notification message with each and every file transfer. A third example would be an NNTP server **10** that accesses a database individual to the Internet access account **14** and generates notices **64** in accordance with that database. Such a database could be generated by the ISP **16**, or could even be generated in cooperation with the account holder **12**. Notices **64** can be generated only for selected newsgroups and or for all newsgroups.

Optionally, if a notice **64** is in order, the server **10** issues **70** a warning to the user **18** that proceeding will result in a notice **64** being sent to the account holder **12**, allowing the user **18** to

abort the request **20**. In the embodiment of the invention directed to Web servers **10**, tracking whether the warning has been issued **70** can be handled via cookies, having a link to a purported content page lead instead to a warning page that actually presents a content page, via a pop-up window with response-accepting widgets, and other methods known to those of ordinary skill in the art.

If and when the server **10** continues to process the request **20**, the server **10** identifies **72** a notice destination **74** that the notice **64** is to be sent to. Preferably, the server **10** identifies the notice destination **74** that the notice **64** is to be sent to by performing a reverse domain name lookup based on the IP address of the requesting computer system (whether client **24**, ISP **16**, anonymizer or other source) to generate a domain name. Optionally, if a domain name lookup fails, the notice **64** can be sent directly to the requesting computer system or abandoned.

In embodiments of the present invention regarding Web servers **10**, it is preferred that Web pages responsive to requests **20** for HTML 1.1 and higher HTML code that trigger **68** the generation of a notice **64** utilize “cache-control” hypertext protocol headers as described in RFC 2068 (available at www.ietf.org) and later updates of the same. Headers of the form: HTTP header: cache-control: no-cache can aid in preventing content from being cached by either ISPs or local computers Request 20s for HTML 1.0 code and higher can use HTML meta-tags. Meta tags such as HTTP-EQUIV = “Pragma”, CONTENT = “no-cache”, or can set HTTP-EQUIV = “Expires”, CONTENT = “0” can also be used to aid in preventing caching by servers not equipped to or not having sufficient data to generate appropriate notices **64**. Analogous technologies can be applied for non-Web servers **10** to prevent access of material that should generate a notice **64** from servers **10** that are not equipped to properly generate notices **64**.

Optionally, a meta-tag for “Notice” with the content of the tag being the communication appropriate for the Web page be included in the headers. In such an embodiment, caching intermediates, such as used by AOL and others, can generate appropriate notices **64** from cached copies of the Web page. Alternatively, the server can make information for notices available via a public database. Such a publicly available database can be implemented in a variety of ways including specialized servers, Web servers, ftp servers, and other remote data access methods known to those of ordinary skill in the art.

The server **10** can generate **76** the notice **64**. It should be noted that although it is preferred for the server **10** to generate **76** the notice **64** after attempting to identify **72** a notice destination **74**, such is not necessary. The notice **64** comprises: 1) the apparent IP address **37** of the client computer system **24**; 2) the time and date that the server **10** received the request **20**; and 3) the communication **78** that the server **10** desires to impart to the account holder **12**. The apparent IP address **37** of the client computer system **24** is the IP address to which the server **10** will send the requested resource **28**. The apparent IP address **37** can be, but is not necessarily, the actual IP address **34** of the client computer system **24**. As discussed above, proxy servers **36** and or anonymizing servers **38** may be in use, so the server **10** does not know if the IP address is the actual IP address **34** of the final destination. The time and date include time zone so that an offset can be applied to reconcile the time of the notice **64** with the local time of the eventual interpretation of the notice **64**.

Preferably, the notice **64** contains a line such as the following: "To user of xxx.xxx.xxx.xxx at 12:01:45 GMT on 12/13/1999". The text could be any communication that the Web site **10** wanted to send. For example, "Someone using your computer on 12/13/1999 at 12:01:45 visited a sexually explicit site". Or, "Someone identifying himself as a 15 year old named Joe Jones has asked to become a Pen Pal Club member. If you are Joe's parent or legal guardian, please complete and submit the attached questionnaire to give him permission to join." In response a person can provide information confirming responsibility for the account or named individual. The information confirming responsibility can be in a variety of means known to those of ordinary skill in the art including digital certificates, credit card numbers, and the like or through the provision of verifiable contact information through other media such as postal addresses, phone numbers and the like.

The server **10** then sends **80** the notice **64** to the notice destination **74** via a standardized communications pathway **82**. The standardized communications pathway **82** is preferably email. The notice destination can be the ISP **16** or can be an anonymizer or other entity.

A sample Perl script for identifying **72** a notice destination **74** and generating **76** and sending **80** such a notice **64** is provided in Appendix A. A header (lines 1-5) is followed by the designation of the locations of various files including the program for sending mail (lines 9-11). A time offset portion, assuming a computer 4 hours from GMT is included at line 19, and the time is obtained at lines 24-25. The remote IP address and remote host are obtained as environment

variables. (lines 26-27) The program checks whether a notice **64** has already been sent. (line 32) If a domain name to be mailed cannot be obtained (as understood by those of ordinary skill in the art, the address of SMTP servers serving a particular domain are normally obtained via the DNS system) the script chooses not to send the notice **64**. (line 37). The present invention is not limited to resolved IP addresses, however. The numerical IP address can be enclosed in square brackets [xxx.xxx.xxx.xxx] and the notice **64** sent to that IP address. The script then limits sending notices **64** to destination having international top level domains and the U.S. national domain. (lines 40-51) However, the invention is not limited to existing TLDs or this country. The subroutine mail is then called. (line 52)

The mail subroutine (lines 66-72) calls the sendmail subroutine (lines 74-89) to compose the email message and sends it to the mail sending program (lines 75 and 89). In this example the server **10** is sending a neutral message to the account holder **12** from “explained.com.”

A routing recipient, such as an ISP **16** or anonymizer server **38**, at the notice destination **72** may implement the present invention for a variety of reasons. First, it may be required to do so pursuant to regulation or statute. Second, the notice destination **72** may decide that in order to maintain a good commercial reputation that the receipt and management of these notices **64** is appropriate. For example, a family-friendly ISP **16** could decide to differentiate itself from other ISPs **16** by providing the benefits of this invention. Another example would be an anonymizer **38** such as ZEROKNOWLEDGE that is employed by the account holder **12** on the condition that it forward such notices **64**. Upon receipt of the notice **64**, the notice destination **72** either identifies another notice destination **72** (in the case of an anonymizer **38** and the like) or identifies the account holder **12** to whom the notice **64** is directed (in the case of the ISP **16**), and forwards the notice **64** to the account holder **12**.

Referring to FIG. 4, in a simple embodiment of the invention, the server **10** sends the notice **64** to the ISP **16**. The ISP **16** receives **84** the notice **64** from the standardized communications pathway **82**. The ISP **16** then processes **86** the notice. In an embodiment where the standardized communications pathway **82** is email, the receiving mail (often SMTP) server can process the notice by searching the recipient field to determine the requesting IP address contained in the notice **64**. A preferred simple method is to have the IP address be the designated recipient as part of the email address such as xxx.xxx.xxx.xxx@DOMAIN. For situations where a notice **64** is being forwarded, the forwarding entity can substitute a new

recipient for the email address using the same information that would be used to forward the response **26** from the server **10** to the request **20**, constituting a new destination IP address.

The ISP **16** can provide software for processing **86** incoming email for every IP address that can be used by account holder **12** desiring to receive **84** notices **64**. Generally, this will be the static IP addresses **44** of accounts **14** desiring to receive notices **64** and all possible dynamic IP addresses **48**. Upon receipt of the email, the ISP's **16** mail system would send the notice **64** to its "ipaddress" processing **86** software or "ipaddress" mailbox or mailboxes. Using manual or automatic techniques well known to those of ordinary skill in the art, the content of the email message can be processed (synonymous here with parsed) to separate the IP address **34**, and the time.

If the IP address **34** is a static IP address **44**, the ISP **16** would know the account holder **12** to whom to message should be delivered. If the IP address is a dynamic address **48**, the ISP **16** can identify **88** the IP address **34** by querying the login database **52** or scanning and/or parsing the appropriate log files or other means that uses date and time stamping techniques to keep track of IP address assignments. The login database **52** can then be queried to determine which account **14** is associated with the IP address **34** on the specified day and at the specified time. It should be noted that just because a particular account **14** or client computer system **24** ordinarily used by an account **14** has a static IP address **44**, an ISP **16** can permit multiple logins or logins from other than a dedicated client computer system **24** to use dynamic IP addresses **48**.

If a proxy server **36** is used, the ISP **16** refers to the appropriate logs and determines the IP address **34** that the apparent IP address **37** is associated with. In any event, the ISP **16** can refer to the login database **52** to identify **88** the Internet access account **14** associated with the destination address and proxies thereof.

Once the Internet access account **14** is identified **88**, the account holder **12** can be identified **90** by manually or automatically referring to information on the Internet access account **14**. Once the account holder **12** is identified, the ISP **16** can contact **92** the account holder **12** in any manner that the ISP **16** and the account holder **12** have previously arranged or that they arrange in the future, such manner comprising an account holder **12** communication subsystem.

For example, one arranged manner, perhaps the default, can be to simply destroy the email message if the account holder **12** is not to receive notices **64**. This can be the case if the

user **18** is an adult living alone and is capable of preventing anyone else from using his or her computer. Such an account holder **12** could have no interest in being told that he or she made a request **20** of a particular server **10**. Another arranged manner would be to give the Web site an email address to which only the account holder **12** has access (e.g., a free email account **14**). The ISP **16** could also send the notice **64** to an account holder **12**'s email account **14** with the ISP **16**. Other alternatives can include sending a printout to the account holder's **12** address, a telephone number via fax print, a telephone number for an oral communications (preferably automated), or a work email address to which a general notification could be sent.

Because the overwhelming majority of Internet access accounts **14** are paid for by credit card, virtually no child is the account holder **12** for a paid ISP **16** account **14**. If a child accesses the Internet **22** from a computer at home, in almost every case the account holder **12** will be the parent of the child, a guardian of the child or someone who has a relationship with a parent or guardian of the child. Therefore, a communication with the account holder **12** will effectively be a communication with the parent or guardian of the child. Similarly, almost no employees pay for the Internet access accounts **14** they use at work -- those are paid for and maintained by the employer. Therefore, a communication to the account holder **12** of an employee's account **14** will the employer.

Using the present invention, a pornography site can warn every user **18** that it will notify the account holder **12** if a particular page is viewed and can send the appropriate email if a user **18** chooses to view the page in question. This approach is akin to requiring a teenage boy who looks at a *Playboy* magazine at home to leave it on the kitchen table before he goes to school rather than hiding it in his closet. In most cases this would be an effective deterrent to children who want to visit pornography sites. The approach will also be quite effective for employers who are concerned about improper employee use of the Internet **22**.

In addition, a site that would want to reliably notify a parent about a child's use of the site could notify the account holder **12** (typically the parent) directly. If the site wants to get parental permission, it could do so by contacting the account holder **12** and asking the account holder **12** to verify that he or she is the parent and to return a permission slip or to forward the communication and the permission slip to the parent. Since the child will not have access to the communication with the account holder **12**, it is feasible to put a password or other security device in the email so that the parent can communicate later on a secure basis. In fact, the Web

site can set up a separate communications channel that can only be accessed by someone with the password (or other security device). This is desirable for commercial sites that have concerns about proper notification under The Children's Online Privacy Protection Rule

Similar arrangements could be implemented for other uses of the Internet **22**. For example, newsgroups are another way in which pornographic materials are distributed over the Internet **22**. Information posted on newsgroups is not delivered to users **18** in the same way that information is delivered to users **18** on the Web. In the case of the Web, information is housed on a computer controlled by the provider of the information. For example, if a page request **20** is sent to whitehouse.gov, the request **20** is sent by the user **18** to an ISP **16** that passes the request **20** on to the White House's Web server. The White House Web server takes the request **20** and returns the information to the ISP **16** which, in turn, sends the page to the user **18**.

In the case of newsgroups, an ISP **16** determines in advance how many of the tens of thousands of newsgroups it wants to support. It then downloads all postings to those newsgroups on a regular basis. When a user **18** of the ISP **16** wants to download a posting, the only communication is to the ISP **16**. If the ISP **16** already has the requested posting (probably as a result of its most recent download), it sends it to the requesting user **18**. Therefore, there is no current mechanism in place for the person who originally made the posting to be notified at the time that the ISP **16** sends the posting to its user **18**.

One way to enable the person making the posting to warn ISP **16** account holders **12** about objectionable content is to require the person to mark his or her posting in a way that notifies the ISP **16** that the content may be unfit for children (e.g., starting the subject line with “**over 18**”). Whenever a user **18** wants to download a posting, the ISP **16** could check the subject line to see if it started with “**over 18**”. If so, the ISP **16** could notify the account holder **12** that a posting so labeled was sent to his or her computer (or possibly warn the user **18** first, and notify the account holder **12** only if the user **18** downloads the posting anyway). If the account **14** owner desired, the entire posting could be retained and held for the account holder **12**. Alternatively, newsgroups that contain postings that are generally unfit for children could inform the ISP **16** (possibly by including “**over 18**” in its name) and thereby allow the ISP **16** to notify the account **14** owner of all downloads from that newsgroup without looking for an “**over 18**” label on the individual posting.

Another way would be to provide a news server **10** programmed to generate notices **64** based on the preferences of the account holder **12**. The account holder **12** can designate which newsgroups should result in a notice **64** being sent to the account holder **12**. When the Internet access account **14** is used to read one of the designated newsgroups, the notice **64** is sent to the account holder **12**. The notice **64** can comprise any of the name of the newsgroup, the identity of the posting(s) read, or even the content of the posting(s) read.

Similar procedures could be used for chat servers, listservs and email messages. Anyone sending something unfit for children could put the same “**over **18**” language in the subject line, thereby enabling an ISP **16** that reviews the subject line to notify the account holder **12** in the right situation. Either that, or the chat server, listserv, or other email distributor can send notices **64** as described above with the appropriate time, destination IP and communication information.**

Finally, even if a user **18** elects to use anonymizing software, a Web site can “look through” the anonymizing server **38** to send a message to the user **18**. Since the Web site only knows the IP address of the anonymizing server **38**, it sends an email message to ipaddress1@[anonymizingserver].com. If the anonymizing server **38** maintains the proper database, the anonymizing server **38** could then forward the email message to the user **18**’s server using the address ipaddress2@[userserver].com. Note that the Web site does not need to know who the user **18**’s ISP **16** is. The user’s **18** ISP **16** can then reforward the message to the user’s **18** computer.

It should be noted that the present invention complements content-based or origin-based filtering. If a particular site or type of content worries an account holder **12**, the account holder **12** can be less aggressive in filtering content from sites that participate in this system. The account holder **12** can rest assured that even if user **18** access questionable material, that such access can be monitored. For example, if a parent is the account holder **12**, and 90% of the content of a given site is acceptable to the parent but 10% is not, then the parent does not need to block the site if the ISP **16** and the server **10** implement the present invention. The parent can rest assured that if children access questionable material using the Internet access account **14**, then he/she will be informed of the usage and be able to take appropriate action.

From the foregoing, it will be observed that numerous modifications and variations can be effectuated without departing from the true spirit and scope of the novel concepts of the

present invention. It is to be understood that no limitation with respect to the specific embodiment illustrated is intended or should be inferred. The disclosure is intended to cover by the appended claims all such modifications as fall within the scope of the claims.

APPENDIX A

```
#!/usr/bin/perl
#####
#####
#####
5 #####
##### Tell Your Mom
#####
##### Set these #####
##### Tell Your Mom
#####
10 $location = "/enter.htm";      # push them where?
$cookiedir = "/tmp/cookieavs";  # must make this writeable by httpd user
$sendmail = "/usr/lib/sendmail"; # location of sendmail binary
#####
15 ### DO NO EDIT BELOW THIS LINE ###
#####
#GMT = $ENV{'DATE_GMT'}
#LOCAL = $ENV{'DATE_LOCAL'}
#[18/Jun/1998:16:47:52 -0400]
20 $offset = "0400"; # 4 hours from gmt
$century = "19";

#Thursday, 18-Jun-98 20:44:32 EST
($weekday,$day,$month,$year,$time) = $ENV{'DATE_GMT'} =~ m/(.*?)\,
(.*?)-(.*?)-(.*?)(.*?)/;
25 $stampdate = "[${day}/${month}/${century}${year} ${offset}]";
$remote = $ENV{'REMOTE_ADDR'};
$host = $ENV{'REMOTE_HOST'};
$mycookie = "$cookiedir/$remote";
30 #####
if (-e $mycookie) { $status = "We already told on you!"; &done; } # already mailed
35 &cookieout;

if ($remote eq $host) { &done; } # a non-resolvable ip
#####
40 if ($host =~ m/.*\..*/ ) {
if ($host =~ m/com\$|net\$|org\$|edu\$|gov\$|mil\$/) {
$host =~ m/.*\.(.*?\..*)/;
$sendto = $1;
}
45 elsif ($host =~ m/^us\$/) {
```

```

$host =~ m/.*\.(.*?\..*\.\us)/;
$sendto = $1;
}
50 else { $sendto = "wrong.one"; } ### wont work - foreign?

&mail;

55 }

else { $sendto = $host; &mail; }

#####
60
sub done {
print "Content-type: text/plain\n\n";
print "$status\n\n";
exit(0);
}
65 }

sub mail {
unless ($host eq "wrong.one") {
70 $status = "I'm telling Mommy! (ipaddress@$sendto)";
&sendmail;
}
&done;
}
75

sub sendmail {
    open (MAIL, "|$sendmail ipaddress@$sendto,ipaddres@$sendto") || die
"Can't open $mailprog\n";
    print MAIL <<EOF;
80 From: ipaddress@explained.com
Subject: $whichpage $remote $stampdate

http://$ENV{'HTTP_HOST'}$ENV{'REQUEST_URI'}

85 This has been an advisory from http://www.explained.com

For more information on the proper use of this service
please contact our Website.

90 EOF
close (MAIL);

```

TOP SECRET//NOFORN

```
95      }
##### User Cookie Subs #####
95
100     sub cookieout {
100       open (COOKIE, ">$mycookie") || die "error opening $mycookie\n";
100       print COOKIE " ";
100       close (COOKIE);
100   }
```